

Impact of Periodontitis on the Diabetes-Related Inflammatory Status

Roberta Santos Tunes, DDS, MD, MSc; Maria Cristina Foss-Freitas, MD, PhD; Getulio da Rocha Nogueira-Filho, DDS, MDent, PhD

Contact Author

Dr. Nogueira-Filho
Email: nogueira@cc.umanitoba.ca



ABSTRACT

Wide-ranging activation of the innate immune system causing chronic low-grade inflammation is closely involved not only in the pathogenesis of type 2 diabetes mellitus and its complications, through an ongoing cytokine-induced acute-phase response, but also in the pathogenesis of periodontal diseases, whereby cytokines play a central role in the host's response to the periodontal biofilm. Although there is extensive knowledge about the pathways through which diabetes affects periodontal status, less is known about the impact of periodontal diseases on the diabetes-related inflammatory state. This review attempts to explain the immunobiological connection between periodontal diseases and type 2 diabetes mellitus, exploring the mechanisms through which periodontal infection can contribute to the low-grade general inflammation associated with diabetes (thus aggravating insulin resistance) and discussing the impact of periodontal treatment on glycemic control in people living with both diabetes and periodontal disease.

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Diabetes mellitus is a clinically and genetically heterogeneous group of disorders affecting the metabolism of carbohydrates, lipids and proteins, in which hyperglycemia is a main feature. These disorders are due to a deficiency in insulin secretion caused by pancreatic β -cell dysfunction and/or insulin resistance in liver and muscle.¹ Diabetes affects about 21 million people in the United States, or more than 9% of the adult population, and has a dramatic impact on the health care system through high morbidity and mortality among affected individuals.¹ In Ontario, population-based data have revealed that the prevalence of diabetes increased by 69% over a recent 10-year period (from 5.2% in 1995 to 8.8% in 2005), which exceeded the global rate of increase of 39% that

was predicted for the period 2000 to 2030.² Furthermore, the rates of increase rose to a greater extent in the younger population. This increase was attributable to both a rise in incidence and a decline in mortality.² Similarly, in the First Nations community of Kahnawake, Quebec, the prevalence rates of type 2 diabetes increased over the period 1986 to 2003, from 6.0% to 8.4% among males and from 6.4% to 7.1% among females.³

Type 1 diabetes results from cellular-mediated autoimmune destruction of pancreatic β -cells, which usually leads to total loss of insulin secretion; in contrast, type 2 diabetes is caused by resistance to insulin combined with a failure to produce enough additional insulin to compensate for the resistance.¹ Type 2 diabetes is commonly linked to obesity, which

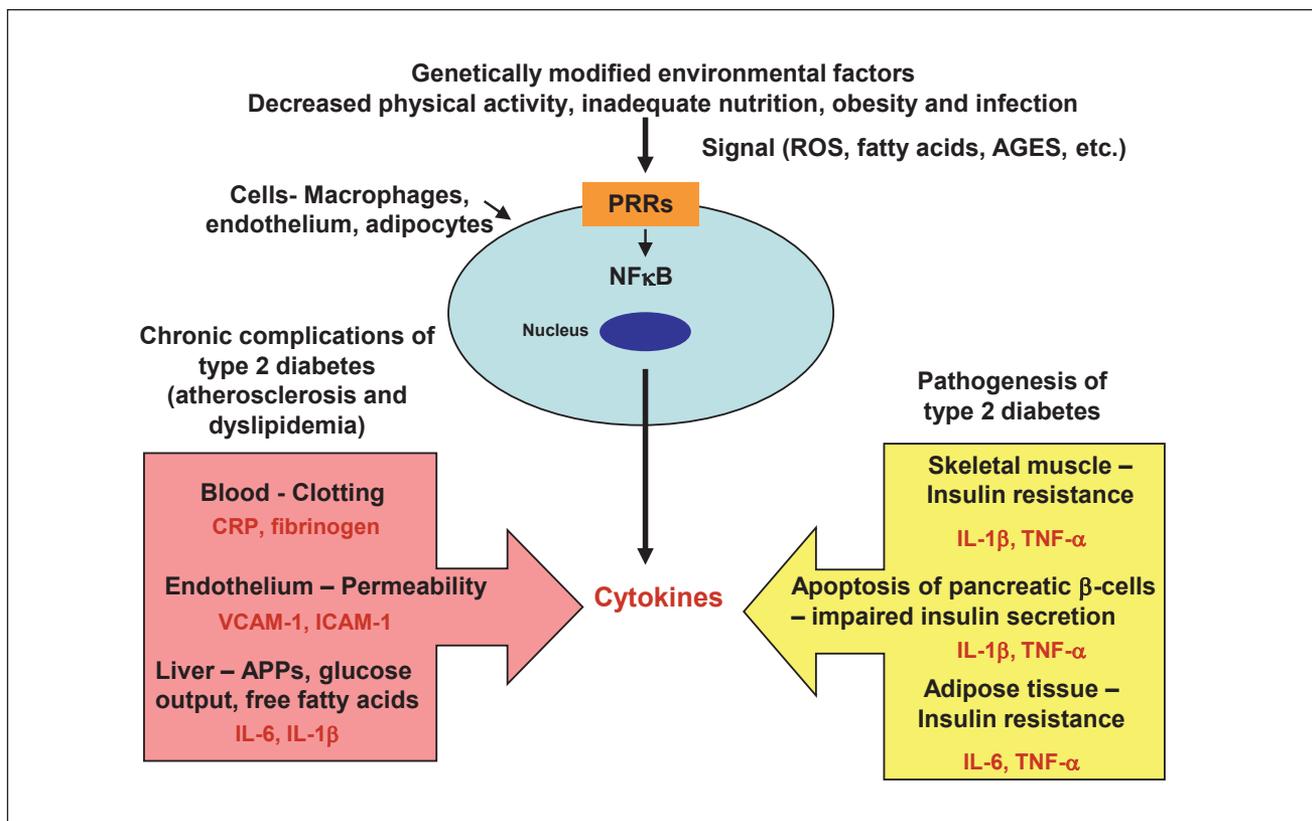


Figure 1: Innate immunity and type 2 diabetes mellitus. Cell components of the innate immune system, such as macrophages, endothelial cells and adipocytes, detect, through pattern-recognition receptors (PRRs), potential environmental threats to the host, which are represented by signals such as reactive oxygen species (ROS), fatty acids and advanced glycation end products (AGES). This process activates nuclear transcription factors, such as nuclear factor-kappa B (NF-κB), which induce immune inflammatory genes, which in turn cause the release of cytokines. These cytokines act in many cells in the body to produce the clinical and biochemical features of type 2 diabetes and its chronic complications. APPs = acute-phase proteins, CRP = C-reactive protein, IL = interleukin, TNF-α = tissue necrosis factor alpha, VCAM-1 = vascular cell adhesion molecule 1, ICAM-1 = vascular endothelial growth factor expression of intercellular adhesion molecule 1.

contributes to insulin resistance through elevation of circulating levels of free fatty acids derived from the adipocytes; these free fatty acids inhibit glucose uptake, glycogen synthesis and glycolysis. In many obese individuals, insulin resistance is compensated by increased insulin production. However, in one-third of obese individuals, β-cell mass is reduced by a marked increase in β-cell apoptosis, which results in inadequate production of insulin.¹

It seems that metabolic control is important not only in the pathogenesis and progression of the microvascular and macrovascular complications of diabetes mellitus,⁴ but also in the high susceptibility of these patients to infectious diseases, as evidenced by a 2- to 5-fold higher risk for periodontitis. Conversely, the risk of periodontitis is reduced by effective control of hyperglycemia.⁵

Several biologically plausible mechanisms have been proposed to explain the interactions between diabetes and periodontal diseases. These potential mechanisms are strikingly similar to those associated with the estab-

lished complications of chronic diabetes, which suggests that periodontitis should be considered the sixth “classic” complication of diabetes.⁶ Less clear is the impact of periodontal diseases on glycemic control in diabetes and the mechanisms through which this effect might occur. It has been proposed that type 2 diabetes is a manifestation of the host’s inflammatory response, because an ongoing cytokine-induced acute-phase response is closely involved in the pathogenesis of this disease and its associated complications, such as dyslipidemia and atherosclerosis⁷ (Fig. 1). This cytokine-induced response is a low-grade inflammation that occurs through activation of the innate immune system. Increased serum concentrations of acute-phase response markers and cytokines have been observed in patients with type 2 diabetes, which indicates that circulating inflammatory cytokines modify the risk for type 2 diabetes.⁸ Likewise, the mechanisms of the host-mediated response in periodontal disease involve activation of the broad axis of innate immunity, specifically by upregulation of proinflammatory cytokines from

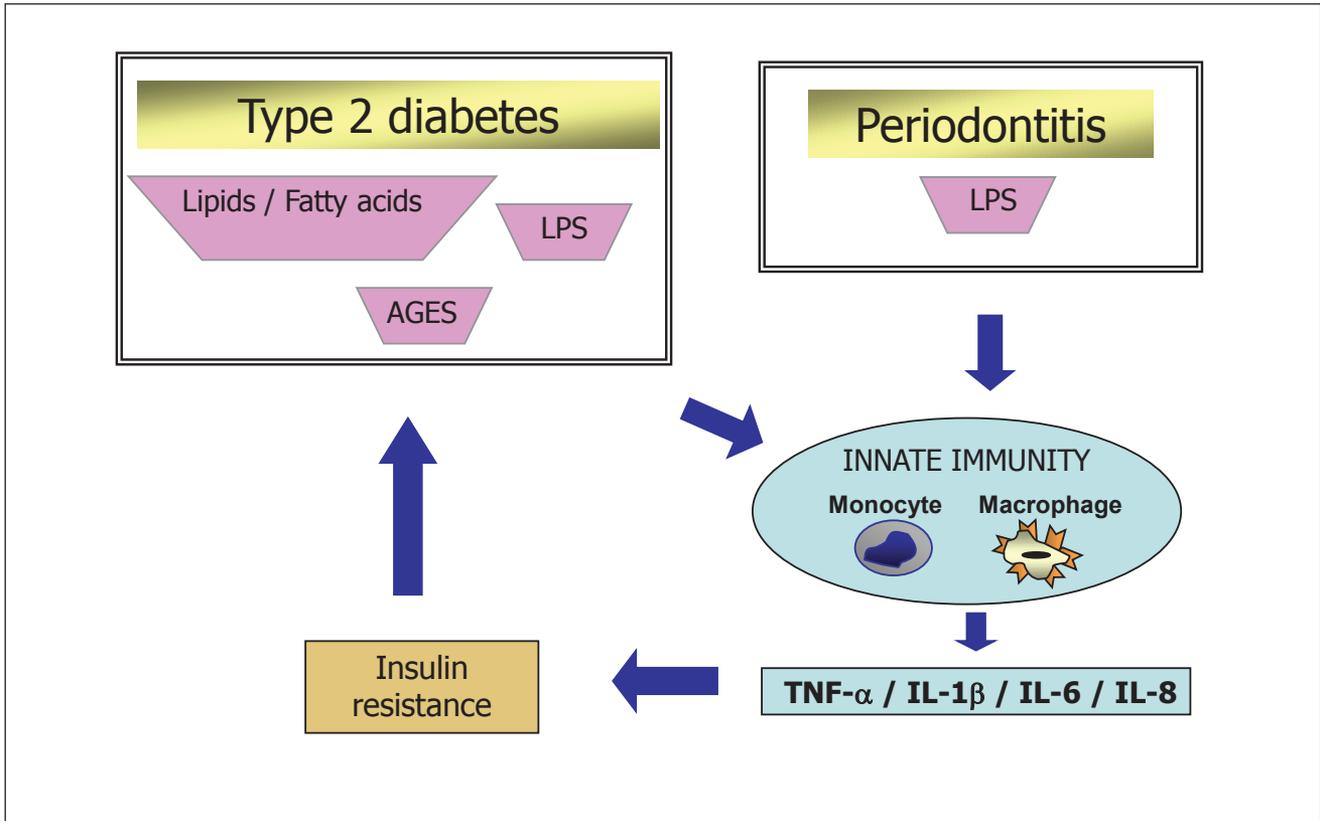


Figure 2: Innate immunity, periodontitis and type 2 diabetes mellitus. Periodontal diseases also involve activation of the broad axis of innate immunity through upregulation of proinflammatory cytokines from monocytes and polymorphonuclear leucocytes, including interleukin (IL)-1 β , IL-6, IL-8, tumour necrosis factor alpha (TNF- α) and prostaglandin E₂. Inappropriate secretion of these cytokines, in terms of either type or quantity, characterizes a dysregulated immune response that leads to destruction of periodontal tissues in the presence of gram-negative bacterial biofilm. These locally produced cytokines move into the systemic circulation, where they may perpetuate an elevated inflammatory state, worsening the patient’s diabetes through increasing insulin resistance and glucose levels. AGES = advanced glycation end products, LPS = lipopolysaccharide.

monocytes and polymorphonuclear leukocytes, in the presence of gram-negative subgingival bacterial biofilm.⁹ Thus, chronic gram-negative periodontal infections may induce or perpetuate an elevated chronic systemic inflammatory state, contributing to increased insulin resistance and poor glycemic control^{1,10} (Fig. 2).

This review discusses the relationship between periodontitis and type 2 diabetes mellitus, focusing on the mechanisms through which periodontal infections contribute to the diabetes-related inflammatory state, the influence of periodontal infections on insulin resistance and the ways in which treatment of these infections can influence glycemic control.

Mechanisms by Which Periodontitis May Influence Diabetes-Related Inflammatory State and Insulin Resistance

Evidence has consistently indicated that diabetes is a risk factor for increased severity of gingivitis and periodontitis.^{1,11} Conversely, periodontitis may be a risk factor

for worsening glycemic control among patients with diabetes and may increase the risk of diabetic complications. Periodontitis may initiate or propagate insulin resistance in a manner similar to that of obesity, by enhancing activation of the overall systemic immune response initiated by cytokines (Fig. 3).^{11,12}

Findings from the Third National Health and Nutrition Examination Survey (NHANES III) in the United States¹³ showed that the prevalence of diabetes among people with periodontal disease ($n = 1293$) was 12.5%, whereas only 6.3% of periodontally healthy participants ($n = 12\ 178$) reported that they had diabetes, a 2-fold difference. Other studies have shown an association between the severity of periodontitis and glucose intolerance, signs of metabolic syndrome and additional diabetes-related complications, such as cardiovascular problems.¹⁴⁻¹⁶

There is limited knowledge about the mechanisms through which periodontal diseases may influence the diabetic state. In untreated severe periodontal disease, the

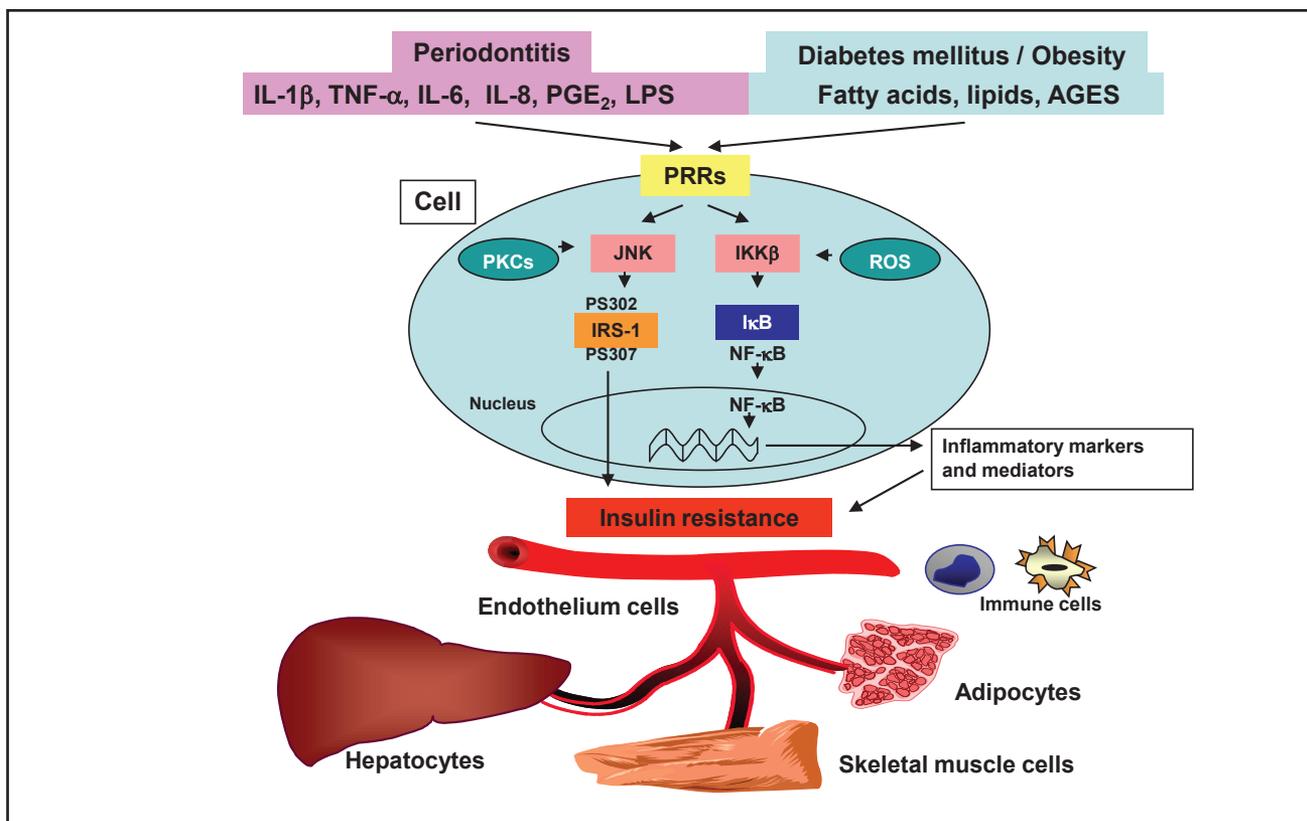


Figure 3: Proposed mechanism by which periodontal inflammatory mediators may contribute to the development of insulin resistance in individuals with both type 2 diabetes and periodontitis. The inflammatory mediators originating from periodontal sources can interact systemically with lipids, free fatty acids and advanced glycation end products (AGES), all of which are characteristic of diabetes. This interaction induces or perpetuates activation of the intracellular pathways, such as the I-kappa-B ($\text{I}\kappa\text{B}$), I-kappa-B kinase- β ($\text{IKK}\beta$), nuclear factor-kappa B ($\text{NF-}\kappa\text{B}$) and the protein c-Jun *N*-terminal kinase (JNK) axes, all of which are associated with insulin resistance. The activation of these inflammatory pathways in immune cells (monocytes or macrophages), endothelium cells, adipocytes, hepatocytes and muscle cells promotes and contributes to an increase in the overall insulin resistance, which makes it difficult to achieve metabolic control in patients with both type 2 diabetes and periodontitis. IL = interleukin, IRS-1 = insulin receptor substrate-1, LPS = lipopolysaccharide, PGE_2 = prostaglandin E_2 , PKCs = protein kinases C, PRRs = pattern-recognition receptors, pS302 (serine-302) and pS307 (serine-307) = examples of serine sites, ROS = reactive oxygen species, $\text{TNF-}\alpha$ = tumour necrosis factor alpha.

cumulative surface area of ulcerated pocket epithelium has been estimated to range from 8 to 20 cm^2 , which approximates the size of the palm of an adult hand.¹⁷ Bacteremia and endotoxemia can be induced by dental procedures, as well as by usual daily activities (such as chewing), leading to an elevated inflammatory state and stimulating increases in the levels of serum inflammatory markers.^{1,12,18} Thus, locally produced proinflammatory mediators, such as interleukin-1 (IL-1), IL-6, tumour necrosis factor alpha ($\text{TNF-}\alpha$) and prostaglandin E_2 (PGE_2), move into the systemic circulation and may subsequently exert effects on distant organ systems, as would be the case with other chronic infections or inflammatory processes and resulting in an acute-phase response. Elevated levels of these serum markers and mediators of inflammation have been observed in individuals with periodontitis.¹⁰ Moreover, patients with periodontitis, particularly those with gram-negative organisms such as *Porphyromonas*

gingivalis, *Tannerella forsythia* and *Prevotella intermedia*, have significantly higher levels of C-reactive protein (CRP) and fibrinogen than those without periodontitis.¹⁹ Periodontal treatment not only reduces clinically evident inflammation, but also has been associated with decreases in IL-6, $\text{TNF-}\alpha$ and CRP, indicating that periodontal diseases have systemic effects extending beyond the local periodontal environment.²⁰

Chronic inflammation through the action of inflammatory mediators is mainly associated with the development of insulin resistance, which is influenced by genetically modified environmental factors, including decreased physical activity, poor nutrition, obesity and infection.^{7,21} In the obesity-related model of the development of insulin resistance, activated adipocytes release abnormal levels of bioactive molecules, such as lipids, fatty acids, monocyte chemoattractant protein-1 and various inflammatory mediators (e.g., CRP, plasminogen

activator inhibitor-1, TNF- α and IL-6). The release of these cytokines and other mediators results in the local recruitment of monocytes within the adipose tissues. With differentiation of the monocytes into macrophages comes an increase in the release of inflammatory factors and chemokines locally within the adipose tissue but also systemically, such that the inflammatory response is propagated to various tissues, especially to insulin-sensitive organs such as the liver and skeletal muscle, thus contributing to overall insulin resistance.²² One of the earliest studies to link the release of inflammatory substances from adipose tissues to insulin resistance in type 2 diabetes showed that TNF- α mRNA and protein were induced locally within adipose tissue and systemically in the plasma (see details in **Figs. 1** and **3**). When the expression of TNF- α was inhibited in a rodent model (*fa/fa*) by use of a recombinant TNF- α receptor-immunoglobulin G chimeric protein, insulin sensitivity improved, which suggested that this cytokine has a direct role in the development of insulin resistance.²³ Thus, a mechanism was proposed that links the expression of TNF- α and other inflammatory mediators to the development of insulin resistance in obesity and type 2 diabetes.²² In this model, receptor ligands, such as inflammatory cytokines, bacterial lipopolysaccharides, lipids, free fatty acids, other microbial products and advanced glycation end products, activate the intracellular pathways, such as the I-kappa-B ($\text{I}\kappa\text{B}$), I-kappa-B kinase- β ($\text{IKK}\beta$), nuclear factor-kappa B ($\text{NF-}\kappa\text{B}$) and the protein c-Jun N-terminal kinase (JNK) axes. JNK has been shown to promote insulin resistance through the phosphorylation of serine residues in the insulin receptor substrate-1. Insulin receptor signalling, which normally occurs through a tyrosine kinase cascade, is inhibited by counter-regulatory phosphorylation of serine and threonine. Unlike JNK, $\text{IKK}\beta$ causes insulin resistance through transcriptional activation of $\text{NF-}\kappa\text{B}$. This protein transcription factor is known to initiate the transcription of a variety of genes for compounds involved in insulin resistance, such as the genes for cytokines (TNF- α , IL-1, IL-6 and IL-8), growth factors, adhesion molecules and acute phase proteins. Activation of $\text{IKK}\beta$ leads to the phosphorylation of $\text{I}\kappa\text{B}$, a cytosolic inhibitor of $\text{NF-}\kappa\text{B}$. Phosphorylation targets $\text{I}\kappa\text{B}$ for ubiquitination and proteasomal degradation, freeing $\text{NF-}\kappa\text{B}$ to translocate to the nucleus where it regulates the transcription of target genes promoting insulin resistance. Other cellular stressors may activate these pathways, such as protein kinase C activators and oxidants. Once activated in the tissues, especially in the adipose tissue and associated immune cells, these processes may become self-perpetuating through a positive feedback loop created by the proinflammatory cytokines.²²

Given these mechanisms promoting insulin resistance, it seems that in individuals with type 2 diabetes and

periodontitis, an elevated chronic systemic inflammatory state induced by periodontal disease may contribute to insulin resistance through a “feed-forward” mechanism, worsening glycemic control^{1,12} (**Fig. 3**). This might explain why periodontitis increases the risk of poor glycemic control among patients with type 2 diabetes.⁵ Periodontitis may also contribute to the elevation of serum inflammation mediators through enhanced in vitro production of TNF- α , IL-1 β and PGE_2 by monocytes, as has been shown in patients with both diabetes and periodontitis. This may indicate an innate hyperresponsiveness of these monocytes to periodontal bacterial challenge.^{24,25} Periodontitis may also play a role through the translocation of gram-negative species and their products from the periodontal biofilm into the circulation^{18,25} and through direct cytokinemia from the gingival crevicular fluid (i.e., translocation of cytokines from the periodontal space into the circulation).²⁵ With regard to the last of these mechanisms, poorer glycemic control was associated with increased levels of cytokines, especially IL-1 β , in the gingival crevicular fluid.²⁶ In individuals with type 2 diabetes and periodontitis, serum levels of TNF- α were significantly correlated with the severity of periodontal destruction, plasma endotoxin and IL-1 β levels in the gingival crevicular fluid, but not with body mass index (BMI), serum glucose or hemoglobin A_{1c} (HbA_{1c}) levels. Furthermore, there was a dose-response relationship between the severity of periodontitis and serum TNF- α levels, which suggested that periodontal disease may play a major role in elevating levels of this cytokine, which is closely linked to insulin resistance.²⁵ An examination of NHANES III data from participants without diabetes revealed a positive association between BMI and clinical attachment loss. Moreover, those in the highest quartile of body mass ($\text{BMI} \geq 30.8 \text{ kg/m}^2$) had significantly higher serum levels of TNF- α and soluble TNF- α receptors than those in the lowest quartile of body mass ($\text{BMI} < 24.6 \text{ kg/m}^2$). These data suggest that obesity is associated with both systemic inflammation and periodontal disease and that insulin resistance may mediate this relationship.²⁷

Impact of Periodontal Treatment on Systemic Inflammatory State and Glycemic Control

Periodontal treatment that reduces periodontal inflammation may help to restore insulin sensitivity, thereby improving glycemic control.^{1,12} Intervention studies showing a decrease in the level of systemic inflammatory markers and improved glycemic control following periodontal therapy would support such a hypothesis. Studies of patients with both diabetes and periodontitis have shown that nonsurgical periodontal therapy with adjunctive local delivery of minocycline reduced circulating levels of TNF- α .^{28,29} In one of those studies, the reduction in serum levels of TNF- α was accompanied by, and

strongly correlated with, a significant decrease in mean HbA_{1c} values (from 8% to 7.1%).²⁸ Conversely, a pilot study showed that serum levels of TNF- α were not significantly affected 4 weeks after mechanical periodontal therapy.³⁰ In the same study, systemic levels of mediators such as CRP and soluble E-selectin were significantly reduced following nonsurgical periodontal debridement.³⁰

Outcomes of a meta-analysis of 10 intervention trials involving 456 patients with diabetes (type 1 or type 2) showed that following mechanical periodontal debridement, HbA_{1c} levels decreased by an average of 0.38% over all studies, by 0.66% among patients with type 2 diabetes and by 0.71% among cases in which antibiotics were administered. However, none of these changes were statistically significant.³¹ A recent single-blind, randomized controlled trial confirmed the results of the meta-analysis, showing that periodontal therapy combined with diabetes medication had no statistically significant effect on levels of HbA_{1c} relative to no treatment.³² Other studies have shown significant improvements in glycemic control with periodontal therapy.^{33,34} These conflicting data are difficult to interpret because of the wide range of medical treatment regimens used in study populations, inadequate sample sizes, combined enrolment of patients with type 1 and type 2 diabetes, confounding by smoking and BMI, and study design (e.g., studies examining only short-term outcomes or pilot studies). Although the 0.7% improvement in HbA_{1c} levels attributed to mechanical periodontal debridement and antibiotic therapy reported in the meta-analysis was not statistically significant, its clinical significance should not be minimized, given that the less potent class of oral glucose-lowering agents, the α -glucosidase inhibitors, reduces HbA_{1c} level by 0.5% to 1%.³⁵ Other classes of oral agents, such as insulin secretagogues, biguanides and thiazolidinediones, as well as nutritional therapy and physical activity, improve glycemic control to a similar degree, with 1% to 2% reduction in HbA_{1c}.³⁵ Therefore, since periodontal treatment appears to have the same power to lower HbA_{1c} as other glucose-lowering therapies, it may represent an alternative or adjunctive therapy for improving insulin sensitivity and glycemic control in patients with both type 2 diabetes and periodontitis.

Final Considerations

As this literature review has indicated, the cytokine-induced inflammatory state in periodontitis can contribute to the overall low-grade inflammation that occurs in diabetes. This low-grade inflammation is characterized by chronic activation of the patient's innate immunity and, consequently, may aggravate insulin resistance and adversely affect glycemic control. Current evidence is conflicting, but does support, to some extent, the hypothesis that periodontal treatment may restore insulin sensitivity and improve glycemic control by reducing

periodontal inflammation and serum levels of cytokines and inflammatory markers. Further research is required to clarify this aspect of how periodontal diseases influence diabetes. As scientific knowledge in this area accumulates, the clinician must determine its relevance to patient care. Nonetheless, information about host responses and modulation factors in diabetes, periodontitis and diabetes-associated periodontitis may be used for therapeutic purposes. As our understanding of these diseases deepens, the focus is shifting from diagnosis and treatment to prevention and health promotion. Many cases of diabetes may remain undiagnosed, and opportunistic screening for diabetes in the dental office, based on self-reported data and clinical periodontal parameters, might be effective in identifying some of these cases. Active and supportive therapy to improve insulin sensitivity and glycemic control, such as preventing the recurrence of periodontal disease and tooth mortality in patients with diabetes, should be considered important components of treatment. As evidence of the close link between inflammatory periodontal diseases and diabetes continues to accumulate, physicians and oral health professionals should interact to a greater extent, to improve general health care and glycemic control and to prevent complications among patients with diabetes. ♦

THE AUTHORS

Dr. Tunes is an assistant professor in the division of periodontics, School of Dentistry of Bahiana Medical School and Public Health (EBMSP), Salvador, Brazil.

Dr. Foss-Freitas is an associate professor in the division of endocrinology and metabolism, department of medical clinics, Medical School of Ribeirão Preto, University of São Paulo, Ribeirão Preto, Brazil.

Dr. Nogueira-Filho is an associate professor in the department of dental diagnostics and surgical sciences and director of undergraduate periodontics, faculty of dentistry, University of Manitoba, Winnipeg, Manitoba.

Correspondence to: Dr. Getúlio Nogueira, D344-790 Bannatyne Ave, Winnipeg, MB R3E 0W2.

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